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JN 21278

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#### Subject: Geotechnical Engineering Study and Critical Area Study Proposed Residence Addition Project 8141 Southeast 44<sup>th</sup> Place Mercer Island, Washington

Greetings:

We are pleased to present this geotechnical engineering report for the proposed residential project on Mercer Island. The scope of our services consisted of exploring site surface and subsurface conditions, and then developing this report to provide recommendations for general earthwork and design considerations.

Based on the information we have received from the project architect, Cindy Larson, we understand that an addition is proposed off the northeastern corner of the existing residence. The finish floor of the addition will be the same as the lowest floor of the residence. Thus, the finish floor will be a maximum of about 2 to 3 feet below the existing ground.

If the scope of the project changes from what we have described above, we should be provided with revised plans in order to determine if modifications to the recommendations and conclusions of this report are warranted.

## SITE CONDITIONS

## SURFACE

The site is located in the west-central portion of Mercer Island. The somewhat rectangular site is bordered to the north by Southeast 44<sup>th</sup> Street, to the east by West Mercer Way, and the southeast by Merrimount Drive. Most of the site is gently sloped downward to the southwest, although there is a driveway on the north/northwest portion of the site that is relatively flat. In addition, there is an approximate 8-foot-tall slope that rises to the east to West Mercer Way with an inclination of about 30 percent. The existing residence is located in the central portion of the site. It has a lower level that includes a garage, thus the lower level has a grade very similar to the driveway. It appears that the upslope, eastern side of the lower level is up to about 2 to 3 feet below the surrounding grade. The downslope side daylights to the outside ground.

Research conducted on the City of Mercer Island GIS Mapping Portal indicates that the subject site is mapped as having two Critical Areas: they are Potential Landslide Hazard Area and potential Erosion Hazard Area. No Steep Slope Hazard Areas exist on the site as the site slopes are only gentle to moderate as noted above.

## SUBSURFACE

The subsurface conditions in the area of the proposed western additions were explored with two, hand-excavated test holes. The location of the test holes is shown on the attached Site Exploration Plan. As we anticipated, dense, native silty sand soil was revealed at shallow depths and is the core soil of the site. Nearer the existing residence, the dense soil was revealed at approximately 18 inches below the ground. A second test hole was excavated nearer the northeastern corner of the proposed addition. It was excavated where an old French Drain was located. Thus, washed rock was revealed down to about 3 feet, underlain by the dense silty sand. It is likely that the silty sand would have been revealed at a higher elevation had this area not been excavated in order to install the French Drain.

### **CONCLUSIONS AND RECOMMENDATIONS, AND CRITICAL AREAS INFORMATION**

The test holes revealed that dense, native silty sand at shallow depths; this silty sand is the core soil at the site. Because of this, footing foundations are very suitable for the proposed addition, provided they bear on the dense soil.

### Discussion of Critical Areas (MICC 19.07)

As noted above, per the Mercer Island GIS, the site is designated as a potential Erosion Hazard Area Landslide Hazard Area. No Steep Slope Hazard Areas are located on the site, but are located on adjacent properties. A discussion of specific hazard areas is given below.

**Erosion Hazard Area:** The entire site is essentially steeper than 15 percent, and this meets the City of Mercer Island's criteria for an Erosion Hazard Area. No buffers are needed per the MICC for Erosion Hazard Areas, nor do we believe any are needed for this project. Excavation and construction of the project can readily be accomplished without adverse to the site and surrounding properties by exercising care and being proactive with the maintenance and potential upgrading of the erosion control system through the entire construction process. Proper erosion control implementation will be important to prevent adverse impacts to the site and neighboring properties. The temporary erosion control measures needed during the site development will depend heavily on the weather conditions that are encountered during the site work. One of the most important considerations, particularly during wet weather, is to immediately cover any bare soil areas to prevent accumulated water or runoff from the work area from becoming silty in the first place. Any cut slopes and soil stockpiles should be covered with plastic during wet weather. Soil stockpiles should be minimized. Following rough grading, it may be necessary to mulch or hydroseed bare areas that will not be immediately covered with landscaping or an impervious surface.

**Landslide Hazard Areas:** There area several criteria for being a Landslide Hazard Area based on the MICC. The first several criteria are as follow:

- 1. Areas of historic failures.
- 2. Areas with all three of the following characteristics:
  - a. Slopes steeper than 15 percent; and
  - b. Hillsides intersecting geologic contacts with a relatively permeable sediment overlying a relatively impermeable sediment or bedrock; and
  - c. Springs or ground water seepage.
- 3. Areas that have shown evidence of past movement or that are underlain or covered by mass wastage debris from past movements.

- 4. Areas potentially unstable because of rapid stream incision and stream bank erosion.
- 5. Any slope that is 40 percent or greater measured over a 30-foot horizontal run (Steep Slope).

In our professional opinion, none of these criteria are met for this site. The northeastern portion of the site has an approximate 8-foot-tall slope that is inclined about 30 percent, but the two soil and groundwater criterial are not met. The proposed development is suitable in our professional opinion with regards to Landslide Hazard code provided in the MICC and also from a geotechnical engineering perspective provided the recommendations in this study are followed.

**Statement of Risk:** In order to satisfy the City of Mercer Island's requirements, a statement of risk is needed. As such, we make the following statement:

Provided the recommendations in this report are followed, it is our professional opinion that the recommendations presented in this report for this project will render the development as safe as if it were not located in a geologically hazardous area, and will not adversely impact critical areas on adjacent properties.

The drainage and/or waterproofing recommendations presented in this report are intended only to prevent active seepage from flowing through concrete walls or slabs. Even in the absence of active seepage into and beneath structures, water vapor can migrate through walls, slabs, and floors from the surrounding soil, and can even be transmitted from slabs and foundation walls due to the concrete curing process. Water vapor also results from occupant uses, such as cooking, cleaning, and bathing. Excessive water vapor trapped within structures can result in a variety of undesirable conditions, including, but not limited to, moisture problems with flooring systems, excessively moist air within occupied areas, and the growth of molds, fungi, and other biological organisms that may be harmful to the health of the occupants. The designer or architect must consider the potential vapor sources and likely occupant uses, and provide sufficient ventilation, either passive or mechanical, to prevent a build up of excessive water vapor within the planned structure.

Geotech Consultants, Inc. should be allowed to review the final development plans to verify that the recommendations presented in this report are adequately addressed in the design. Such a plan review would be additional work beyond the current scope of work for this study, and it may include revisions to our recommendations to accommodate site, development, and geotechnical constraints that become more evident during the review process.

We recommend including this report, in its entirety, in the project contract documents. This report should also be provided to any future property owners so they will be aware of our findings and recommendations.

## CONVENTIONAL FOUNDATIONS

The proposed west-central addition can be supported on conventional continuous and spread footings bearing on undisturbed, competent, dense, native sand soil. We recommend that continuous and individual spread footings have minimum widths of 12 and 16 inches, respectively. Exterior footings should also be bottomed at least 18 inches below the lowest adjacent finish ground surface for protection against frost and erosion. The local building codes should be reviewed to determine if different footing widths or embedment depths are required. Footing subgrades must be cleaned of loose or disturbed soil prior to pouring concrete. Depending upon site and equipment constraints, this may require removing the disturbed soil by hand.

An allowable bearing pressure of 2,000 pounds per square foot (psf) is appropriate for footings supported on competent native soil. A one-third increase in this design bearing pressure may be used when considering short-term wind or seismic loads.

Lateral loads due to wind or seismic forces may be resisted by friction between the foundation and the bearing soil, or by passive earth pressure acting on the vertical, embedded portions of the foundation. For the latter condition, the foundation must be either poured directly against relatively level, undisturbed soil or be surrounded by level, well-compacted fill. We recommend using the following ultimate values for the foundation's resistance to lateral loading:

PARAMETER	ULTIMATE VALUE
Coefficient of Friction	0.50
Passive Earth Pressure	300 pcf

Where: pcf is Pounds per Cubic Foot, and Passive Earth Pressure is computed using the Equivalent Fluid Density.

If the ground in front of a foundation is loose or sloping, the passive earth pressure given above will not be appropriate. The above ultimate values for passive earth pressure and coefficient of friction do not include a safety factor.

# FOUNDATION AND RETAINING WALLS

Retaining walls backfilled on only one side should be designed to resist the lateral earth pressures imposed by the soil they retain. The following recommended parameters are for walls that restrain <u>level</u> backfill:

PARAMETER	VALUE
Active Earth Pressure *	35 pcf
Passive Earth Pressure	300 pcf
Soil Unit Weight	125 pcf

Where: pcf is Pounds per Cubic Foot, and Active and Passive Earth Pressures are computed using the Equivalent Fluid Pressures.

\* For a restrained wall that cannot deflect at least 0.002 times its height, a uniform lateral pressure equal to 10 psf times the height of the wall should be added to the above active equivalent fluid pressure. This applies only to walls with level backfill.

The design values given above do not include the effects of any hydrostatic pressures behind the walls and assume that no surcharges, such as those caused by slopes, vehicles, or adjacent foundations will be exerted on the walls. If these conditions exist, those pressures should be added to the above lateral soil pressures. Where sloping backfill is desired behind the walls, we will need to be given the wall dimensions and the slope of the backfill in order to provide the appropriate design earth pressures. The surcharge due to traffic loads behind a wall can typically be accounted for by adding a uniform pressure equal to 2 feet multiplied by the above active fluid density. Heavy construction equipment should not be operated behind retaining and foundation walls within a distance equal to the height of a wall, unless the walls are designed for the additional lateral pressures resulting from the equipment.

The values given above are to be used to design only permanent foundation and retaining walls that are to be backfilled, such as conventional walls constructed of reinforced concrete or masonry. It is not appropriate to use the above earth pressures and soil unit weight to back-calculate soil strength parameters for design of other types of retaining walls, such as soldier pile, reinforced earth, modular or soil nail walls. We can assist with design of these types of walls, if desired.

The passive pressure given is appropriate only for a shear key poured directly against undisturbed native soil, or for the depth of level, compacted fill placed in front of a retaining or foundation wall. The values for friction and passive resistance are ultimate values and do not include a safety factor. Restrained wall soil parameters should be utilized the wall and reinforcing design for a distance of 1.5 times the wall height from corners or bends in the walls, or from other points of restraint. This is intended to reduce the amount of cracking that can occur where a wall is restrained by a corner.

#### Wall Pressures Due to Seismic Forces

The surcharge wall loads that could be imposed by the design earthquake can be modeled by adding a uniform lateral pressure to the above-recommended active pressure. The recommended surcharge pressure is 8**H** pounds per square foot (psf), where **H** is the design retention height of the wall. Using this increased pressure, the safety factor against sliding and overturning can be reduced to 1.2 for the seismic analysis.

## Retaining Wall Backfill and Waterproofing

Backfill placed behind retaining or foundation walls should be coarse, free-draining structural fill containing no organics. This backfill should contain no more than 5 percent silt or clay particles and have no gravel greater than 4 inches in diameter. The percentage of particles passing the No. 4 sieve should be between 25 and 70 percent.

The purpose of these backfill requirements is to ensure that the design criteria for a retaining wall are not exceeded because of a build-up of hydrostatic pressure behind the wall. Also, subsurface drainage systems are not intended to handle large volumes of water from surface runoff. The top 12 to 18 inches of the backfill should consist of a compacted, relatively impermeable soil or topsoil, or the surface should be paved. The ground surface must also slope away from backfilled walls at one to 2 percent to reduce the potential for surface water to percolate into the backfill.

Water percolating through pervious surfaces (pavers, gravel, permeable pavement, etc.) must also be prevented from flowing toward walls or into the backfill zone. Foundation drainage and waterproofing systems are not intended to handle large volumes of infiltrated water. The compacted subgrade below pervious surfaces and any associated drainage layer should therefore be sloped away. Alternatively, a membrane and subsurface collection system could be provided below a pervious surface.

It is critical that the wall backfill be placed in lifts and be properly compacted, in order for the above-recommended design earth pressures to be appropriate. The recommended wall design criteria assume that the backfill will be well-compacted in lifts no thicker than 12 inches. The compaction of backfill near the walls should be accomplished with hand-operated equipment to prevent the walls from being overloaded by the higher soil forces that occur during compaction.

The above recommendations are not intended to waterproof below-grade walls, or to prevent the formation of mold, mildew or fungi in interior spaces. Over time, the performance of subsurface drainage systems can degrade, subsurface groundwater flow patterns can change, and utilities can break or develop leaks. Therefore, waterproofing should be provided where future seepage through the walls is not acceptable. This typically includes limiting cold-joints and wall penetrations, and using bentonite panels or membranes on the outside of the walls. There are a variety of different waterproofing materials and systems, which should be installed by an experienced contractor familiar with the anticipated construction and subsurface conditions. Applying a thin coat of asphalt emulsion to the outside face of a wall is not considered waterproofing, and will only help to reduce moisture generated from water vapor or capillary action from seeping through the concrete. As with any project, adequate ventilation of basement and crawl space areas is important to prevent a buildup of water vapor that is commonly transmitted through concrete walls from the surrounding soil, even when seepage is not present. This is appropriate even when waterproofing is applied to the outside of foundation and retaining walls. We recommend that you contact an experienced envelope consultant if detailed recommendations or specifications related to waterproofing design, or minimizing the potential for infestations of mold and mildew are desired.

## SLABS-ON-GRADE

The building floors can be constructed as slabs-on-grade atop firm existing soil or on structural fill. The subgrade soil must be in a firm, non-yielding condition at the time of slab construction or underslab fill placement. Any soft areas encountered should be excavated and replaced with select, imported structural fill.

Even where the exposed soils appear dry, water vapor will tend to naturally migrate upward through the soil to the new constructed space above it. This can affect moisture-sensitive flooring, cause imperfections or damage to the slab, or simply allow excessive water vapor into the space above the slab. All interior slabs-on-grade should be underlain by a capillary break drainage layer consisting of a minimum 4-inch thickness of clean gravel or crushed rock that has a fines content (percent passing the No. 200 sieve) of less than 3 percent and a sand content (percent passing the No. 4 sieve) of no more than 10 percent. Pea gravel or crushed rock are typically used for this layer.

As noted by the American Concrete Institute (ACI) in the *Guides for Concrete Floor and Slab Structures*, proper moisture protection is desirable immediately below any on-grade slab that will be covered by tile, wood, carpet, impermeable floor coverings, or any moisture-sensitive equipment or products. ACI recommends a minimum 10-mil thickness vapor retarder for better durability and long term performance than is provided by 6-mil plastic sheeting that has historically been used. A vapor retarder is defined as a material with a permeance of less than 0.3 perms, as determined by ASTM E 96. It is possible that concrete admixtures may meet this specification, although the manufacturers of the admixtures should be consulted. Where vapor retarders are used under slabs, their edges should overlap by at least 6 inches and be sealed with adhesive tape. The sheeting should extend to the foundation walls for maximum vapor protection.

If no potential for vapor passage through the slab is desired, a vapor *barrier* should be used. A vapor barrier, as defined by ACI, is a product with a water transmission rate of 0.01 perms when tested in accordance with ASTM E 96. Reinforced membranes having sealed overlaps can meet this requirement.

We recommend that the contractor, the project materials engineer, and the owner discuss these issues and review recent ACI literature and ASTM E-1643 for installation guidelines and guidance on the use of the protection/blotter material.

## EXCAVATIONS AND SLOPES

Temporary excavation slopes should not exceed the limits specified in local, state, and national government safety regulations. Also, temporary cuts should be planned to provide a minimum 2 to 3 feet of space for construction of foundations, walls, and drainage. Temporary cuts to a maximum overall depth of about 4 feet may be attempted vertically in unsaturated soil, if there are no indications of slope instability. However, vertical cuts should not be made near property boundaries, or existing utilities and structures. It is important that vertical cuts not be made at the base of sloped cuts. Based upon Washington Administrative Code (WAC) 296, Part N, the soil at the subject site would generally be classified as Type B. Therefore, temporary cut slopes greater than 4 feet in height should not be excavated at an inclination steeper than 1:1 (Horizontal:Vertical), extending continuously between the top and the bottom of a cut.

The above-recommended temporary slope inclination is based on the conditions exposed in our explorations, and on what has been successful at other sites with similar soil conditions. It is possible that variations in soil and groundwater conditions will require modifications to the inclination at which temporary slopes can stand. Temporary cuts are those that will remain unsupported for a relatively short duration to allow for the construction of foundations, retaining walls, or utilities. Temporary cut slopes should be protected with plastic sheeting during wet weather. It is also important that surface runoff be directed away from the top of temporary slope cuts. Cut slopes should also be backfilled or retained as soon as possible to reduce the potential for instability. Please note that loose soil can cave suddenly and without warning. Excavation, foundation, and utility contractors should be made especially aware of this potential danger. These recommendations may need to be modified if the area near the potential cuts has been disturbed in the past by utility installation, or if settlement-sensitive utilities are located nearby.

## DRAINAGE CONSIDERATIONS

Footing drains should be used where: (1) crawl spaces or basements will be below a structure; (2) a slab is below the outside grade; or, (3) the outside grade does not slope downward from a building. Drains should also be placed at the base of all earth-retaining walls. These drains should be surrounded by at least 6 inches of 1-inch-minus, washed rock that is encircled with non-woven, geotextile filter fabric (Mirafi 140N, Supac 4NP, or similar material). At its highest point, a perforated pipe invert should be at least 6 inches below the bottom of a slab floor or the level of a crawl space. The discharge pipe for subsurface drains should be sloped for flow to the outlet point. Roof and surface water drains must not discharge into the foundation drain system. For the best long-term performance, perforated PVC pipe is recommended for all subsurface drains. Clean-outs should be provided for potential future flushing or cleaning of footing drains.

As a minimum, a vapor retarder, as defined in the *Slabs-On-Grade* section, should be provided in any crawl space area to limit the transmission of water vapor from the underlying soils. Crawl space grades are sometimes left near the elevation of the bottom of the footings. As a result, an outlet drain is recommended for all crawl spaces to prevent an accumulation of any water that may bypass the footing drains. Providing a few inches of free draining gravel underneath the vapor retarder is also prudent to limit the potential for seepage to build up on top of the vapor retarder.

The excavation and site should be graded so that surface water is directed off the site and away from the tops of slopes. Water should not be allowed to stand in any area where foundations, slabs, or pavements are to be constructed. Final site grading in areas adjacent to the building should slope away at least one to 2 percent, except where the area is paved. Surface drains should be provided where necessary to prevent ponding of water behind foundation or retaining walls. A discussion of grading and drainage related to pervious surfaces near walls and structures is contained in the *Foundation and Retaining Walls* section.

# <u>LIMITATIONS</u>

The conclusions and recommendations contained in this report are based on site conditions as they existed at the time of our explorations and assume that the soil and groundwater conditions encountered in the explorations are representative of subsurface conditions on the site. If the subsurface conditions encountered during construction are significantly different from those observed in our explorations, we should be advised at once so that we can review these conditions and reconsider our recommendations where necessary. Unanticipated conditions are commonly encountered on construction sites and cannot be fully anticipated by merely taking samples in borings. Subsurface conditions can also vary between exploration locations. Such unexpected conditions frequently require making additional expenditures to attain a properly constructed project. It is recommended that the owner consider providing a contingency fund to accommodate such potential extra costs and risks. This is a standard recommendation for all projects.

This report has been prepared for the exclusive use of the Bretschneider family and their representatives for specific application to this project and site. Our conclusions and recommendations are professional opinions derived in accordance with our understanding of current local standards of practice, and within the scope of our services. No warranty is expressed or implied. The scope of our services does not include services related to construction safety precautions, and our recommendations are not intended to direct the contractor's methods, techniques, sequences, or procedures, except as specifically described in our report for consideration in design. Our services also do not include assessing or minimizing the potential for biological hazards, such as mold, bacteria, mildew and fungi in either the existing or proposed site development.

## ADDITIONAL SERVICES

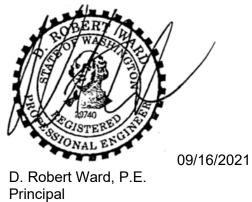
In addition to reviewing the final plans, Geotech Consultants, Inc. should be retained to provide geotechnical consultation, testing, and observation services during construction. This is to confirm that subsurface conditions are consistent with those indicated by our exploration, to evaluate whether earthwork and foundation construction activities comply with the general intent of the recommendations presented in this report, and to provide suggestions for design changes in the event subsurface conditions differ from those anticipated prior to the start of construction. However, our work would not include the supervision or direction of the actual work of the contractor and its employees or agents. Also, job and site safety, and dimensional measurements, will be the responsibility of the contractor.

During the construction phase, we will provide geotechnical observation and testing services when requested by you or your representatives. Please be aware that we can only document site work we actually observe. It is still the responsibility of your contractor or on-site construction team to verify that our recommendations are being followed, whether we are present at the site or not.

We appreciate the opportunity to be of service on this project. Please contact us if you have any questions, or if we can be of further assistance.

Respectfully submitted,

GEOTECH CONSULTANTS, INC.



Attachment: Site Exploration Plan

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